

Dear Applicant,

A permit is required in New Mexico to operate Hemp Extraction Facilities, Hemp Manufacturing Facilities, Hemp Processing Facilities, and Hemp Warehouses. Each facility type is also required to be permitted separately. For example, if a person conducts hemp extraction and later formulates the hemp extract into a hemp finished product, two permits would be required for that operation.

Permit Applications **<u>must</u>** be completed as follows:

- 1. All sections of the application must be completed
- 2. All required attachments <u>must</u> be submitted as one "packet" with pages numbered and listed in the table of contents (it's preferred in a three-ring binder)
- 3. The packet **<u>must</u>** have a table of contents
- 4. Each section, corresponding to application section #, should be labeled and inserted in chronological order (i.e. Section 1, Section 2, Section 3)
 - a. Hemp Manufacturing Application: Sections and subsections should be labeled to match the updated application. (i.e. Section 6 would be labeled as "Operational Plan" in the packet, Subsection 6.3 would be labeled "Hemp Finished Product Testing" and the SOP or plan for testing Hemp Finished Product would be labeled as 6.3.1 under that Subsection)
- Submit a <u>hard copy</u> (electronic submissions will not be accepted) of the application, attachment packet, and applicable fee(s) to: NMED Hemp Program 121 Tijeras Ave. NE, Suite 1000 Albuquergue, NM 87102

<u>PRIOR</u> to submitting the application, please <u>clearly denote</u> any portions of your application that you believe to be a trade secret under the meaning of Subsection 14-2-1(F) of the Inspection of Public Records Act.

"Trade Secret", as used in the Inspection of Public Records Act, means: information, including a formula, pattern, compilation, program, device, method, technique or process, that:

(1) derives independent economic value, actual or potential, from not being generally known to and not being readily ascertainable by proper means by other persons who can obtain economic value from its disclosure or use; and

(2) is the subject of efforts that are reasonable under the circumstances to maintain its secrecy.

If you have further questions, please email us at hemp.program@state.nm.us.



Application Date: _

All fields must be completed. If a field does not apply, please indicate. Incomplete applications will not be processed.

General Information								
	Facility Information							
Name of Hemp Faci	lity:							
Street Address:			Phone:					
City:			Cell:					
State:	Zip:		Fax:					
Mailing Address (if	different than above):							
City:								
State: Zip: Email:								
	Busii	ness/Ownership Information						
Select one: 🗆	Association	Individual	r Legal Entity					
	NM Combined Reporting Sy	<pre>/stem Identification Number (CRS #)</pre>						
Individual or Corpo	rate Name:		Phone:					
Mailing Address:			Cell:					
City:			Fax:					
State:	Zip:	Email:						
State:	Zip:	Email:						
	Ownership Inforn	nation (List all persons comprising legal	ownership)					
Name and Title: Phone:								
Mailing Address:			Cell:					
City:	1	1	Fax:					
State:	Zip:	Email:						
	Attach sepa	arate page, if additional space is required.						

Type of Construction (Check one)							
New Construction		Remodel					
Facility Conversion to Hemp Facility		Opening or Transfer of Ownership of Existing Hemp Facility					

Type of Hemp Finished Product Manufactured (list all products)						



Permit Application for Hemp Extraction Facility

	Construction and Opening Details						
Plan	ned Construction Start Date:	Planned Opening Date:					
	Please ensure a	ll inf	tion needed to complete the plan review. ormation is included. Il delay review and plan approval.**				
1	 Floor Plan Floor Plan with equipment layout Equipment Specification Sheets 	7	Employee Health Employee Hygiene Plan				
2	Plumbing Plumbing Connections	8	Training Manager and Employee Training				
3	3 Mechanical (new construction) • Mechanical Plans and Schedules		Operational Plan • List of products • See Section 9 for details				
5	 Site Plan Site Plan Water Test Results (if applicable) Piping diagram of the water supply disinfection system (if applicable) 	10	Other NMED permits held				
6	 Chemical and Personal Items List on the floor plan the chemical and employee personal items storage areas 	11	Signatures • Applicant signatures • NMED signatures				

Square Footage and Area Location *If the facility is in a multi-story structure, indicate on which floor each area is located.							
Please indicate square footage in each area	Square Footage (ft. ²)	*Floor					
Total Square Footage of the Hemp Facility							
Square Footage of the Hemp Extraction or Manufacturing Area							
Square Footage of the Dishwashing Areas							



	Days and Hours of Operation Insert hours below in the following format: 8am to 8pm If there is a break in the hours you are open, use the second line to insert additional hours.												
Days Sunday Monday Tuesday Wednesday Thursday Friday Saturday								turday					
Hours	5		to	to		to	to	to to to				to	
Hours	5		to	to		to	to		to	to	to		to
				For s	easona	l operatio	ons, chec	k all ti	hat apply.	_			
Jan 🗆	Feb		Mar 🗆	Apr 🗆	May 🗆] Jun 🗆	Jul 🗆	Aug	□ Sept □	Oct 🗆	Oct 🗆 Nov 🗆 De		
Additional information (if applicable):													

<u>Section 1 – Floor Plan</u>

FACILITY FLOOR PLAN/EQUIPMENT LAYOUT:

A. Submit floor plans drawn to scale that include the location and identification of all equipment including but not limited to, the items listed in table below. Check all that apply to your facility.

Floor Plan/Equipment Layout								
Hand sink(s) (required)		Ventilation Hoods		Warewashing Sinks/Dish Machines				
Stoves		Utility Mop sinks		Toilet Facilities				
Refrigerators		Chemical Storage Areas		Floor Sinks/Floor Drains				
Ovens		Personal Storage Areas		Hose bibs/hose reels (if applicable)				
Walk in refrigerators		Dry Storage Areas		Grease Interceptor/Grease Trap				
Ice Bins/Ice Machines		Garbage/Recyclables Storage		Water Heater Locations				
Freezer		Chemical Dispensing Units		Other:				
Blaster chillers		Laundry Facility Locations		Other:				

B. Provide refrigeration unit information in the tables below.

Refrigeration Capacities							
TYPE OF UNIT	# OF UNITS	TOTAL CUBIC FEET					
Walk-in Cooler							
Walk-in Freezer							
Reach-in Cooler							
Reach-in Freezer							
Blast Chiller							
Other:							
Other:							

EQUIPMENT SPECIFICATIONS:

C. Submit equipment specification sheets, including make and model numbers. If a specification sheet lists more than one piece of equipment, identify the specific equipment to be used.



D. Complete table below to indicate method of equipment installation or attach an equipment schedule, including display units.

				Insta	allatio	n Met	hod			
Clean-In-Place Equipment Installation List Note: Under "Installation Method", check all that apply.						Floor Mounted			Counter/ Table- Mounted	
ID # on Plan or Location	Equipment	Make/Model	New (N) / Used (U)	Plumbing Required Yes / No	Casters	Legs (at least 6 inches)	Sealed in Place	Portable	Legs (at least 4 inches)	Sealed in Place

Section 2 - Plumbing

PLUMBING CONNECTIONS:

Complete table below for all related equipment and plumbing fixtures. Indicate if fixtures or equipment will be indirectly drained (e.g. floor sink or air gap), directly connected to the sewer (p-trap), and/or what method of backflow prevention will be used (if applicable).

ID # on Plan or Location	Fixture or Equipment	Indirect/Direct Drainage	Method of Backflow Prevention
	Warewashing Facilities		
	Dish Machines		
	Garbage Disposals		
	Hand sinks		
	Chemical Dispensing Units		
	Refrigeration Units		
	Mop/Utility Sink		
	Others:		
	Others:		
	Others:		

Note: Approved backflow protection is intended to protect the water supply. A vacuum breaker on water inlet lines for dishwashing machines, garbage disposals, or hose bibs is an example. Indirect drainage is intended to protect fixtures from sewage backup. An air gap at warewashing is an example.



Hand Washing Sink:						
Do all sinks have a mixing valve or combination faucet with hot and cold	YES□	NO□				
running water under pressure?						
Are hand drying devices and hand cleanser available at each sink?	YES□	NO□				

Drain boards:			
Will alternate equipment or methods be used in place of traditional drain boards?	YES□	NO□	N/A□
If yes, indicate the methods that will be used and provide specification sheets:			

Manual Warewashing - Include the size of each compartment (*length x width x depth*) of the warewashing sinks, soiled and clean drain board lengths, and whether or not a pre-rinse spray hose will be installed for each warewashing area, including bars.

Manual Warewashing Information					
ID # on Plans or Location	Length (inches) of Soiled Drain board	Dimensions (inches) of Sink Compartments (LxWxD)	Length (inches) of Clean Drain board	Pre Rinse Sprayer Yes/No	
		x x		YES NO N/A	
		x x		YES NO N/A	

Note: Warewashing sinks must be large enough to accommodate the largest piece of equipment or utensils used.

Mechanical - Provide make and model numbers and attach specification sheets for each warewashing machine by completing the table below.

	Mechanical Warewashing Information						
Make	e Model # Sanitizing		Drain board Length (inches) —	Pre-Rinse		Utensil Soak Sink Dimensions (inches) (LxWxD)	
		Heat Chemical			Yes	No	
							хх
							хх
	Dirty Dishes:						
Where will dirty dishes be stored prior to cleaning?							
How will they be rinsed before putting them into the machine?							



Booster Heater:			
Is a separate booster heater provided?	YES□	NO□	N/A□

Water Heater - Provide type and capacity of all water heaters.

Water I	Heater:
Type (Ex: Standard, Quick Recovery, Tankless)	Capacity

Provide the number of plumbing fixtures requiring hot water in the table below. This information will be used to determine the hot water demand within the facility and sizing criteria for the water heater.

Plumbing Fixtures Requiring Hot Water	Number of Fixtures throughout facility
3-compartment sinks	
Warewashing machines	
Pre-rinse sprayers	
Utensil soak sinks	
Hand sinks include restrooms	
Mop sinks/Utility sinks	
Garbage can washer	
Showers	
Hose bibs used for cleaning	
Other:	
Other:	
Other:	

Section 3 – Mechanical

MECHANICAL VENTILATION PLANS AND SCHEDULES:

- A. Provide plans and schedules that indicate the location and specifications of ventilation hoods, fire suppression systems (as required by state or local fire authority), and restroom exhaust fans. The ventilation schedule shall include exhaust capacities in cubic feet per minute (CFM) for all ventilation hoods and exhaust fans. Indicate the volume of outside air each roof top and make up air unit will supply into the building. *(new construction)*
- B. Provide make and model numbers or shop drawings for each ventilation hood and exhaust fan in table below. (all existing and new construction)

Ventilation Information			
ID # on Plans or Location	Make	Model	

<u>Section 4 – Electrical</u> (new construction)

ELECTRICAL PLANS AND SCHEDULES:

A. Provide plans and schedules that indicate the locations and specifications of all lights. **Note:** All lights in processing areas, dry storage areas, dishwashing areas, inside equipment, and above areas where open products are held or displayed must be equipped with shatter proof bulbs or shields that will protect open product, utensils and single use items from broken glass if a bulb is broken.

Section 5 - Site Plan

SITE PLAN:

- A. Submit a site plan which includes the following:
 - 1) Dumpster enclosures and trash compactors
 - 2) Outside walk-in coolers/freezers
 - 3) Outside product storage areas
 - 4) Location of well heads and well water supply lines servicing the building (if applicable).
 - 5) Location of on-site liquid waste treatment systems and associated lines servicing the building (if applicable)
 - 6) Grease interceptors/grease traps (if applicable)
 - 7) Submit piping diagram of the disinfection system. Include size of holding tank(s), pressure tank(s), make and model number of treatment system, etc.

Sewage Disposal:

Select the type of sewage disposal system that services the facility

□ Public - Name of municipality:

□ On-site liquid waste system – Permit number:

Water Availability:

I acknowledge and understand that running water is required at <u>ALL</u> times and agree to discontinue all productrelated activities, if water is not available, until water service is restored, or an alternative plan is approved by NMED.

Signature:

Water Supply: (Select the type of water supply system that services the facility.)		
Public Water System - Name of municipality:	Water Supply System (WSS)# of facility:	

□ Private:

Submit a copy of the most recent water sample test results that meet the drinking Water quality standards of a noncommunity water system as specified in 20.7.10 NMAC.

Туре	Frequency	Limit	
Total Coliform	Initial and Monthly	Absent	
Nitrate	Initial and Annual	10 ppm	
Nitrite	Initial	<1.0 ppm	
A list of cortified la	be can be leasted at https://www.apu.p	a any duch (compling (Cortified) and ht	

A list of certified labs can be located at: https://www.env.nm.gov/dwb/sampling/CertifiedLabs.htm



Private Drinking Water Supply Information					
Well Depth (feet):			Setback to liquid waste drain field (feet):		
Disinfection	YES□	NO□	Туре:		
Is there a water treatment device?			YES□	NO□	
If yes, how will the device be inspected and serviced?					

Section 6 – Chemical and Personal Items

Cross Contamination Prevention:

Describe how product, equipment, utensils, linens, and single-service articles will be protected from contamination by chemicals and personal items:

Section 7 – Employee Health

Employee Health:		
Describe how employees will report illness information to the person in charge:		
Is there a written policy to exclude or restrict employees who are sick or have infected cuts and lesions? (Reference 2-201.12 <i>Exclusions and Restrictions</i> and 2-201.13 <i>Removal, Adjustment, or Retention of Exclusions and Restrictions</i> , in the <i>Food Code</i> .)	YES 🗆 If yes, please attach.	NO 🗆
If no, please describe.		

	Bare Hand Contact:	
How will bare hand contact with he that apply and/or explain:	emp products be avoided during pro	oduction and packing? Check all
Utensils 🗆	Gloves 🗆	Deli Tissue 🗆
Dispensing equipment \Box	Other 🗆	



Helpful Resources

Employee Health and Personal Hygiene Handbook:

http://www.fda.gov/Food/GuidanceRegulation/RetailFoodProtection/IndustryandRegulatoryAssistanceandTrainingResources/ucm 113827.htm

<u>Section 8 – Training</u> Manager and Employee Training: Describe and/or attach the manager and employee training plan and record keeping system:

<u>Section 9 – Operational Plan</u>

- A. Submit list of all hemp products
- B. Submit an Operational Plan for each product type. Refer to checklist below for requirements:

Hemp Extraction Operational Plan Checklist

Operational Plan includes the product formulation, production steps, safety requirements, distribution, labeling, and Recall procedures of a hemp product that will be implemented by a hemp extractor or manufacturer.

Hemp products or types of production methods may be grouped together, if the Product Hazard, Critical Control Points, Critical Limits, and procedures required are essentially identical. The grouping of operational plans together shall be approved by the Regulatory Authority.

Product i	nformation:
1	Planned source of hemp products
2	Names of the ingredient(s) listed in order by weight (largest quantity first)
3	Final product pH (if applicable)
4	Final product water activity (a _w) (if applicable)
5	Names of any preservatives (if none, write none)
6	The type of packaging to be used and whether the packaging is integral to product stability
7	The intended distribution and use condition of the product
8	If the product is to be distributed at ambient, refrigerated or frozen temperature
9	The expected shelf life during distribution, retail storage, and in the hands of the consumer
10	How the product should be prepared for consumption
11	What mishandling of the product might occur in the merchandising channels or in the hands of the consumer
12	A description of the batch / lot ID coding system - Hemp facilities shall design, maintain and use a coding system that will identify the date and place of manufacture of each hemp product and shall be clearly visible on the product label or securely affixed to the body of the container



New Mexico Environment Department Environmental Health Bureau

Permit Application for Hemp Extraction Facility

Recall:					
13	3 Attach a description of the firm's written product recall procedure				
	Plans for identifying products which may be adulterated or misbranded				
	 Procedures for collecting, warehousing, controlling, reworking, and/or disposal of recalled products 				
	System for determining the effectiveness of recalls				
	Persons to contact when implementing a recall, including the regulatory authority				

Operatio	nal Procedure:	
14	 The complete operational procedure for the intended process, using a flow chart. In addition, describe in detail the production procedure, including the following, if applicable: The proposed extraction method, and approval from RLD/LP Gas Bureau if utilizing propane or butane for extraction; The proposed process for the removal of all harmful solvents used during the extraction process; The intended end use or disposal method for all parts of the hemp plant, residual solvents, and unused hemp-derived material; The safety measures proposed to protect the public and employees from dangers associated with 	
	extraction methods	
Product L	abels:	
	 Submit proposed product labels that comply with: human consumption: CFR title 21, part 101 and the New Mexico Food Act; absorption by humans: CFR title 21, parts 701 and 740 inhalation by humans: applicable state and federal labeling requirements 	
15	 Hemp finished products labels shall identify: CBD content in the package and/or container, labeled in milligrams; and Total THC content in the package and/or container, labeled in milligrams Attach actual size sample label	
Record k	leping:	
16	Proposed record keeping system to assure traceability of hemp products from harvest to hemp finished products	
Pest Co	ntrol Plan:	
17	Attach proposed pest control plan	
Monitor	ing Equipment List:	
19	Proposed equipment to measure and monitor product safety factors related to the production of proposed products	
Product	Monitoring Logs:	
20	Provide a copy of each proposed monitoring logs necessary to document achievement of critical product safety factors (critical limits).	



SSOPs:	
21	 Sanitation Controls - Provide the Sanitation Standard Operating Procedures (SSOPs) that addresses sanitation conditions and practices before, during, and after processing Safety of the water that comes into contact with Product or product-contact surfaces or that is used in the manufacture of ice; Condition and cleanliness of product-contact surfaces, including utensils, gloves, and outer garments; Prevention of cross contamination from insanitary objects to Product, product- packaging material, and other product-contact surfaces, including utensils, gloves, and outer garments, and from raw product to processed product; Prevention of allergen cross contact Maintenance of hand washing, hand sanitizing, and toilet facilities; Protection of Product, product-packaging material, and product-contact surfaces from adulteration with lubricants, fuel, pesticides, cleaning compounds, sanitizing agents, condensate, and other chemical, physical, and biological contaminants; Proper labeling, storage, and use of toxic compounds; Control of Employee health conditions that could result in the microbiological contamination of hemp products. Exclusion of pests from the hemp facility Monitoring - Describe how the hemp facility shall monitor the conditions and practices during extracting and manufacturing with sufficient frequency to ensure, at a minimum, document the monitoring and corrections
	Additional Requirements
may exist requirem	n to produce certain hemp products, or food products containing hemp, additional requirements . For example, if you plan to produce a shelf-stable food, such as salsa or beef jerky, additional ents will include pH lab analysis for salsa or water activity lab analysis for beef jerky. Submit I information as required. Please direct further questions to the NMED Hemp Program email

Section 10 - Administrative

Other NMED Permits Held				
Name of Facility	Permit #			

hemp.program@state.nm.us.



<u>Section 11 – Signatures</u>

Applicant's Signature Page							
Comments:							
CTATEMENT: I haraby cartify that the above information is correct	and I fully understand that any	deviation from the above without prior					
STATEMENT: I hereby certify that the above information is correct, permission from the State of New Mexico Environment Department	may nullify final approval. I agree	to comply with 20.10.2 NMAC – Hemp					
Extraction, Production, Transportation, Warehousing and Testing Regulations and allow the regulatory authority access to the facility and records.							
Applicant or responsible representative(s) Signature / Title	Date						
	_						
Applicant or responsible representative(s) Signature / Title	Date Date	does not indicate compliance with any					
Approval of these plans and specifications by the State of New Mexico Environment Department does not indicate compliance with any other code, law or regulation that may be requiredfederal, state, or local. It further does not constitute endorsement or acceptance of the completed facility (structure or equipment). A pre-opening inspection of the facility with equipment in place & operational will be necessary to determine if it complies with 20.10.2 NMAC – Hemp Extraction, Production, Transportation, Warehousing and Testing Regulations . After the pre-opening inspection is completed and an approval to open is given, the fee remittance will be required and accepted.							
NMED	Use Only						
Review Comments (as applicable):							
Signature:	Date:						
Approved 🗆	Denied 🗆						
Final reviewer's comments:							
Signature/Title:	Date:						
Approved 🗆	Denied 🗆						
Office	F	acility					
District:	Owner #:						
Field Office:	Permit #:						
Inspector:	Туре:						
Review Date:	Date Opened:	Date Closed:					