### Dear Applicant,

In addition to being properly licensed by the Regulation and Licensing Department, Cannabis Control Division (CCD), a food permit is also required for Class II, III, or IV cannabis manufacturers engaged in manufacturing of cannabis edible products or cannabis edible finished products. <u>Facilities located outside the jurisdictional boundaries of the City of Albuquerque or Bernalillo County will require a food permit from the New Mexico Environment Department, Cannabis and Hemp Bureau (CHB).</u>

If you are located outside the City of Albuquerque, this is the appropriate application to submit to CHB by January 1, 2022. To ensure the application is processed in a timely manner, it is important to provide complete and detailed information for all applicable sections. We encourage you to submit your complete application well in advance of the deadline, if possible. If you are located within the jurisdictional boundaries of the City of Albuquerque, please visit the CABQ Cannabis Equity Workspace.

Permit Applications **must** be completed as follows:

- 1. All sections of the application must be completed
- 2. All required attachments <u>must</u> be submitted as one "packet" with pages numbered and listed in the table of contents (it's preferred in a three-ring binder)
- 3. The packet **must** have a table of contents
- 4. Each section of the packet, corresponding to an application section number, should be labeled and inserted in chronological order (i.e. Section 1, Section 2, Section 3):
  - a. Cannabis Edible Manufacturing Facility Application: Sections and subsections should be labeled to match the updated application. (i.e. Section 4 would be labeled as "Product Information" in the packet, Subsection 4.2.3 would be labeled "Manager and employee training" and the SOP or plan for manager and employee training would be labeled as 4.2.3.1 under that Subsection)
- 5. Clearly denote any portions of your application that you believe to be a trade secret. See "Trade Secrets" below for further details.
- 6. Submission of a hard copy of the application is preferred, but electronic submission is also acceptable. When ready to submit, email <a href="mailto:cannabis.hemp.bureau@state.nm.us">cannabis.hemp.bureau@state.nm.us</a> for submission instructions.

### TRADE SECRETS

<u>PRIOR</u> to submitting the application, please <u>clearly denote</u> any portions of your application that you believe to be a trade secret under the meaning of Subsection 14-2-1(F) of the Inspection of Public Records Act.

"Trade Secret", as used in the Inspection of Public Records Act, means information, including a formula, pattern, compilation, program, device, method, technique or process, that:

- (1) derives independent economic value, actual or potential, from not being generally known to and not being readily ascertainable by proper means by other persons who can obtain economic value from its disclosure or use; and
  - (2) is the subject of efforts that are reasonable under the circumstances to maintain its secrecy.

If you have further questions, please email us at <a href="mailto:cannabis.hemp.bureau@env.nm.gov">cannabis.hemp.bureau@env.nm.gov</a> or (505) 222-9502.



Application Date:	

\*Required fields that must be completed. If a field does not apply, please indicate. Incomplete applications will not be processed. **General Information Facility Information** Name of Facility\*: Street Address\*: Phone\*: City\*: County\*: Zip\*: Fax: Mailing Address (if different than above): State: Zip: City: County: **Ownership Information\*** Select one: ☐Association ☐Corporation ☐Individual ☐Partnership ☐LLC ☐Other Legal Entity\_ NM Combined Reporting System Identification Number (CRS #)\* Individual or Corporate Name\*: Phone\*: Mailing Address\*: Fax: City\*: State\*: Zip\*: **Ownership Contact Information\*** Name and Title\*: Phone\*: Mailing Address\*: Cell: City\*: Fax: State\*: Zip\*: Email\*: **Billing Contact Information\*** Same as "Ownership Contact Information" Name and Title\*: Phone\*: Mailing Address\*: Cell: Fax: City\*: State\*: Zip\*: Email\*: **Primary Facility Contact Information** Same as "Ownership Contact Information"  $\ \square$ Name and Title: Phone: Mailing Address: Cell: City: Fax: State: Zip: Email: Additional Facility Contact Information (attach additional sheet if necessary) N/A 🗆 Name and Title: Phone: Cell: Mailing Address: City: Fax: Zip: Email: State:



	Type of Construction (Check one)																
New Construction					F	Remode	odel										
Facility Conversion to Hemp Facility						(	Opening	or Tra	ansfer of Own	ership of Ex	isting He	emp F	acility				
						Co	onstruct	ion	n an	d Openi	ng Det	ails					
Plan	ned C	Const	tructi	ion Start	Date:					Planned	Openi	ng [	Pate:				
<b>Square Foot</b> *If the facility is in a multi-story struc				ucti	ure,				r each area i	1		*Flo	~-				
		Piec	ise ii	nuicate s	quare foc	rage	in eac	ı ar	ea				(ft. <sup>2</sup>			FIO	or .
Tota	l Squ	are F	oota	ge of the	Cannabis	Edib	le Facili	 :y					(	,			
Squa	are Fo	otag	je of	the Manı	ufacturing	Area	<b>a</b>										
Squa	are Fo	otag	je of	the Dry S	Storage/W	/areh	iouse										
Days and Hours of Operation  Insert hours below in the following format: 8am to 8pm  If there is a break in the hours you are open, use the second line to insert additional hours.																	
	ays		Su	ınday	Monda	у	Tueso	lay		Wedne	sday	1	hursday	Frid	lay Saturday		iturday
Н	ours			to	to		to			to	ı		to	to		to	
Н	ours			to	to		to			to			to	to	)		to
					For s	easc	onal op	era	atic	ns, che	ck all t	hat	apply.				
Jan		Feb		Mar 🗆	Apr □	Ма	у 🗆   Ј	un		Jul 🗆	Aug		Sept □	Oct 🗆	Nov		Dec □
Add	itiona	l info	orma	tion (if ap	plicable):						1				1		
			Belo		P	leas	e ensur	e al	l in	formatio	n is ind eview d	clud and p	plan approv		iew.		
1	Plans								3	Water 8							
	• F	loor	, Me	chanical,	Electrical,	and	Site Plai	าร	<ul><li>Water supply and sewage disposal</li><li>Water test results</li></ul>								
2 Equipment & Plumbing						4	Product										
_   _					ent Layou	t							ucts manuf	actured			
					ation She				5				ation & Ot		D Perr	nits	
			gerat					ŀ	6	Signatu							
<ul> <li>Handwashing sinks</li> <li>Warewashing</li> <li>Plumbing Connections</li> <li>Water heaters &amp; fixtures</li> </ul>						• Ap	plicant 1ED sig	_									

### Section 1 – Plans

#### 1.1 FLOOR PLAN:

Submit floor plans drawn to scale that include the location and identification of all equipment, including but not limited to, the items listed in Section 2.2 below. Number each item on the floor plan and provide a key identifying the equipment corresponding to each number on the floor plan.

#### 1.2 LIGHTING PLANS (new construction, facility conversion, or lighting change):

Provide plans that indicate the locations and specifications of all lighting.

**Note:** All lights in manufacturing areas, dry storage areas, dishwashing areas, inside equipment, and above areas where open products are held or displayed must be equipped with shatter proof bulbs or shields that will protect open product, utensils and equipment from broken glass if a bulb is broken.

#### 1.3 SITE PLAN:

Provide a site plan which includes the following applicable items:

- 1) Dumpster enclosures and trash compactors
- 2) Outside walk-in coolers/freezers
- 3) Outside product storage areas
- 4) Location of well heads and well water supply lines servicing the building
- 5) Location of on-site liquid waste treatment systems and associated lines servicing the building
- 6) Grease interceptors/grease traps

# Section 2 - Equipment & Plumbing

#### **2.1 EQUIPMENT SPECIFICATIONS:**

Submit equipment specification sheets, including make and model numbers for all equipment listed in this section. If a specification sheet lists more than one piece of equipment, identify the specific equipment to be used. Number each specification sheet with the floor plan number assigned in 2.2 below.

### 2.2 EQUIPMENT IDENTIFICATION

Check	Check all that apply to your facility & add others not listed.							
Hand Sink(s) (required in all manufacturing areas)	Warewashing Sinks/Dish Machines (required near manufacturing areas)	Rotary Evaporator						
Stoves	Chemical Dispensing Units	Isolate Reactor						
Ovens	Laundry Facility Locations	Ventilation/Exhaust Hood						
Refrigerators	Garbage/Recyclables Storage	Fire Suppression System						
Freezers	Toilet Facilities	Other:						
Ventilation Hoods	Floor Sinks/Floor Drains	Other:						
Utility Mop Sinks	Hose Bibs/Hose Reels (if applicable)	Other:						
Chemical Storage Areas	Grease Interceptor/Grease Trap	Other:						
Personal Storage Areas	Water Heater (location(s))	Other:						
Dry Storage Areas	Distillation Unit	Other:						



#### 2.3 REFRIGERATION:

	Refrigeration Capacities							
ID # on Plan or Location	TYPE OF UNIT	# OF UNITS	TOTAL CUBIC FEET					
	Walk-in Cooler							
	Walk-in Freezer							
	Reach-in Cooler							
	Reach-in Freezer							
	Other:							

#### 2.4 HANDWASHING SINKS:

Handwashing Sink (required in all manufacturing areas)							
Do all sinks have a mixing valve or combination faucet with hot and cold running water under pressure?							
l acknowledge and understand that paper towels (stored in enclosed dispensers) & hand soap will be av times & at each handsink.	ailable a	t all					
Signature:							

#### 2.5 WAREWASHING:

**Manual Warewashing** - Include the size of each compartment (*length x width x depth*) of the warewashing sinks, soiled and clean drain board lengths, and whether or not a pre-rinse spray hose will be installed for each warewashing area, including bars.

**Note:** Warewashing sinks must be large enough to accommodate the largest piece of equipment or utensils used.

	Manual Warewashing Information (required)								
ID # on Plans or Location	Length (inches) of Soiled Drain board (required)	Dimensions (inches) of Sink Compartments (L x W x D)	Length (inches) of Clean Drain board (required)	Pre-Rinse Sprayer Yes/No					
		х х		YES□	NO□				
		х х		YES□	NO□				

Drain board Alternatives:						
If soiled and clean drainboards will not be provided, indicate the methods that will be used and provide specification sheets:						



**Mechanical Warewashing** - Provide make and model number(s) and attach specification sheets for each warewashing machine by completing the table below.

	Mechanical Warewashing Information N/A									N/A□	
ID # on Plans	D # on Plans or Location										
Make	Model #	Sanitizin	g Method	Drain board Length (inches)	Pre-R	inse	Utensil Soak Sink Dime (inches)			ensions	
		Heat	Chemical	Length (menes)	Yes	No	(L	x	w	x	D)
								х		Х	
								Х		Х	
Dirty Dishes											

Complete table below to indicate equipment that cannot be moved to be cleaned and sanitized and must be cleaned in

place. If more room is necessary, attach an additional page.

						Insta	allatio	n Met	hod	
Clean-In-Place Equipment Installation List Note: Under "Installation Method", check all that apply.						Floor ounte	d		ounte Table Iount	-
ID # on Plan or Location	Equipment	Make/Model	New (N) / Used (U)	Plumbing Required Yes / No	Casters	Legs (at least 6 inches)	Sealed in Place	Portable	Legs (at least 4 inches)	Sealed in Place



#### 2.6 PLUMBING CONNECTIONS:

Complete table below for all related equipment and plumbing fixtures. Indicate if fixtures or equipment will be indirectly drained (e.g. floor sink or air gap), directly connected to the sewer (p-trap), and/or what method of backflow prevention will be used (if applicable).

ID # on Plan or Location	Fixture or Equipment		Indirect/Direct Drainage	Method of Backflow Prevention
	Warewashing Sinks	N/A□		
	Warewashing Machines	N/A□		
	Garbage Disposals	N/A□		
	Hand Sinks	N/A□		
	Chemical Dispensing Units	N/A□		
	Walk-in Refrigeration /	N/A□		
	Mop / Utility Sink	N/A□		
	Other:			
	Other:			

**Note:** Approved backflow protection is intended to protect the water supply. A vacuum breaker on water inlet lines for dishwashing machines, garbage disposals, or hose bibs is an example. Indirect drainage is intended to protect fixtures from sewage backup. An air gap at warewashing is an example.

#### 2.7 WATER HEATER(S)

Provide type and capacity of all water heaters. **Provide specification sheet(s).** 

Water Heater						
Туре	Capacity					
(Ex: Standard, Quick Recovery, Tankless)						

Booster Heater for Mechanical Warewashing Machine:		N/A□
Is a separate booster heater provided?	YES□	NO□

#### 2.8 FIXURES REQUIRING HOT WATER

Provide the number of plumbing fixtures requiring hot water in the table below. This information will be used to determine the hot water demand for the facility and sizing criteria for the water heater.

Plumbing Fixtures Requiring Hot Water	# of Fixtures Throughout Facility		# of Fixtures Throughout Facility
3-compartment sinks		Garbage can washer	
Warewashing machines		Showers	
Pre-rinse sprayers		Hose bibs used for cleaning	
Utensil soak sinks		Other:	
Hand sinks include restrooms		Other:	
Mop sinks/Utility sinks		Other:	



# Section 3 - Water & Sewage

## 3.1 WATER SOURCE, AVAILABILITY, & SAMPLING

			Wate	er Availability:		
_		_		•	nes and agree to discontinue all or an alternative plan is approv	•
Signature:						
W	ater Suppl	<b>y:</b> (Select th	ne type of	water supply syste	m that services the facility)	
☐ Public Water System - N	Name of mu	nicipality:				
☐ Private (sampling requi	red as outli	ned below	– if possib	ole, initial samples s	should be submitted with applicat	tion):
Submit a copy of community water					the drinking Water quality standa	rds of a non-
Туре		Fre	quency		Limit	
Total Coliform		Init	tial and M	onthly	Absent	
Nitrate		Ini	tial and Ar	nnual	10 ppm	
Nitrite		Ini	tial		<1.0 ppm	
A list of o	certified lab	can be loc	ated at: <u>h</u>	ttps://www.env.nm	n.gov/dwb/sampling/CertifiedLabs	<u>.htm</u>
		Private D	rinking V	Water Supply Info	ormation	N/A□
Well Depth (feet):				Setback to liquid	waste drain field (feet):	
Disinfection	YES□	NO□	Туре:			
Is there a water treatme	nt device?	YES□	NO□			
If a water treatment device is	installed, how	will the devic	e be inspec	ted and serviced? Atta	ch separate page, if additional space is r	equired.
3.2 SEWAGE DISPOSAL						
			Sew	age Disposal:		
	Select th	ne type of	sewage d	lisposal system tha	at services the facility	
☐ Public - Name of m	unicipality:					
☐ On-site liquid waste	system – P	ermit num	ber:			



# **Section 4 – Product Information**

### **4.1 OPERATIONAL PLAN(S):**

Provide the following information for all hemp products or hemp finished products manufactured.						
Cannabis Edible Manufacturing Operational Plan Checklist						
General Information (one attachment needed for all products) REQUIRED						
4.1.1	Planned source of cannabis and other ingredients used in production					
	Attach Standard Operating Procedure(s) OR describe in detail how you determine where ingredients will be					
	purchased.					
4.1.2	Manager and employee training					
4.1.2	4.1.2.1 Attach Standard Operating Procedure(s) OR describe the manager and employee training plan and record					
	keeping system to track training					
	4.1.2.2 Attach training log.					
4.1.3	Employee Health & Hygiene					
	4.1.3.1 Attach Standard Operating Procedure(s) OR Employee Illness Policy, describing:					
	How permit holder will require employees report illness information to the person in charge.					
	How employees will report illnesses to the permit holder/person in charge.					
	Specific illnesses and symptoms covered by the policy.					
	How to determine when employees will be excluded or restriction in work duties due to illness or when					
	they have infected cuts or lesions.					
	How to determine when employee exclusion or restriction will be removed.					
	4.1.3.2 Attach Standard Operating Procedure(s) OR describe how will bare hand contact with hemp products will					
	be avoided during production and packing (i.e. gloves, utensils, dispensing equipment, others).					
	Helpful Resources					
	The <u>FDA Employee Health and Personal Hygiene Handbook</u> is a great resource to utilize for policies and					
4.1.4	Standard Sanitation Operating Procedures (SSOPs)					
	Attach SSOPs that addresses sanitation conditions and practices before, during, and after manufacturing. SSOPs					
	to address, at a minimum, the following should be included:					
	• Practices					
	○ Safety of the water.					
	Monitoring backflow prevention devices.  Water campling and limits (if private course)					
	<ul> <li>Water sampling and limits (if private source).</li> <li>Condition and cleanliness of product-contact surfaces, including equipment, work surfaces, utensils,</li> </ul>					
	gloves, and outer garments.					
	<ul> <li>Prevention of cross contamination from insanitary objects, including chemicals and personal items, to</li> </ul>					
	product, product packaging material, and other product-contact surfaces, including equipment, work					
	surfaces, utensils, gloves, and outer garments, and from raw product to manufactured product.					
	o Prevention of allergen cross contact.					
	<ul> <li>Maintenance of hand washing, hand sanitizing, and toilet facilities.</li> </ul>					
	o Prevention of adulteration of product, product-packaging material, and product-contact surfaces					
	from adulteration with lubricants, fuel, pesticides, cleaning compounds, sanitizing agents, condensate,					
	and other chemical, physical, and biological contaminants.  o Proper labeling, storage, and use of toxic compounds. Include:					
	Type and concentration of sanitizer used for warewashing and hemp product surfaces (such					
	as tables/counters). Target examples: chlorine-100ppm, quaternary ammonium-200ppm,					
	and iodine-12.5ppm.					
	o Control of Employee health conditions that could result in the microbiological contamination of hemp					
	products.					
	Exclusion of pests from the hemp facility.					



4.1.4	<ul> <li>Standard Sanitation Operating Procedures (SSOPs) continued</li> <li>Monitoring - Describe how the hemp facility shall monitor the conditions and practices during extracting and manufacturing with sufficient frequency to ensure, at a minimum, conformance with those conditions and practices specified in the SSOPs are being met.</li> <li>Records - Describe how the facility shall maintain SSOPs records that, at a minimum, document the monitoring and corrections of practices.</li> </ul>				
4.1.7	Pest Control Plan:	Indicate who will perform pest control for the facility:			
	Attach proposed pest control plan.	☐ Professional Service ☐ Personal Application			
4.1.8	Production Monitoring Equipment List  Attach a list and specification sheets for proposed equipment to measure and monitor product safety factors related to the production of hemp products. Examples include food safety thermometer, water activity meter, and pH meter.				
4.1.9	Recall Plan  Attach a description of the firm's written product recall procedure, including:  Plans for identifying products which may be adulterated or misbranded.  Procedures for collecting, warehousing, controlling, reworking, and/or disposal of recalled products.  System for determining the effectiveness of recalls.  Persons to contact when implementing a recall, including the regulatory authority.				

<u>On Attachment A of this application or on another sheet of paper,</u> provide a list of all cannabis edible products manufactured. The list must contain the following information: product name, varieties, package size(s), CBD content, total THC content, serving size, total THC content per serving.

#### Example:

Product Name	Varieties	Packaging Type	Package size(s)	Label Submitted?
Tincture	Cherry, mint, strawberry	Amber glass bottle	30ml, 60ml	Yes

#### Cannabis Edible Product Information (one attachment per product\*) REQUIRED

\*Cannabis Edible Product Information is required for each product that will be produced. Cannabis Edible products or types of production methods may be grouped together, if the Product Hazard, Critical Control Points, Critical Limits, and procedures required are essentially identical.

• An example is a tincture. If you produce multiple tinctures utilizing the same production method and they only vary by THC content and flavor, a single Operational Plan can be provided with all of the products listed (i.e. 500MG, 1000MG, 500MG Cherry Flavor) on the first page.

Prepare Cannabis Edible Product Information as a separate attachment and in the order outlined in the checklist below. This will make the review process more efficient.

#### 4.1.10 | Product Information and Production

- 4.1.10.1 Name of Hemp Product(s).
- 4.1.10.2 Names of the ingredient(s) listed in order by weight (largest quantity first).
- 4.1.10.3 Final product pH. (if applicable)
- 4.1.10.4 Final product water activity (a<sub>w</sub>). (if applicable)
- 4.1.10.5 Names of any preservatives. (if none, write none)
- 4.1.10.6 Complete operational procedure for producing the product beginning with receiving incoming ingredients and continuing to final product distribution. This can be provided in a list or flow chart format. Include critical control points, such as minimum cooking time/temp, that are necessary to produce a safe product.
- 4.1.10.7 Type of packaging to be used and whether the packaging is integral to product. stability. Attach specification sheet for packaging.



- 4.1.10 4.1.10.8 Description of the batch / lot ID coding system (20.10.2.13.E), identifying the date and place of manufacture of each hemp product and how/where it'll be placed on the package to be clearly visible on the product label or securely affixed to the body of the container.
  - 4.1.10.9 Proposed shelf life. <u>Provide supporting documentation, such as a shelf-life study, scientific journal article, or similar products with similar ingredients, to support proposal.</u>
  - 4.1.10.10 Product state during transportation (i.e. ambient temp., refrigerated, frozen).
  - 4.1.10.11 Product care, including:
    - Condition of product (i.e. ready-to-eat, raw & must be cooked).
    - Mishandling that may occur during storage, shipping, and in the hands of consumers.
- 4.1.11 Proposed record keeping system to assure traceability of hemp products from receiving to distribution
  - 4.1.11.1 Attach Standard Operating Procedure(s) OR plan to describe what records will be kept, and how they will be maintained for a minimum of 2 years. The SOP or plan must clearly demonstrate how product can be tracked from receiving to distribution to provide traceability and demonstrate the disposition of all hemp, hemp intermediate product, and hemp extract.
  - 4.1.11.2 Attach logs/records used to maintain traceability of all hemp, hemp intermediate product, and hemp extract and to monitor/document achievement of critical product safety factors (critical limits). Examples of logs/records include, but are not limited to:
    - Receiving: to document compliance with hemp and hemp extract source requirements. Must contain, at a minimum, the following information (note: terminology may vary): date received, product received, supplier, lot #, amount received, initial or signature of receiver.
      - The following must also be a part of the receiving record:
        - Hemp harvest certificate (if receiving hemp).
        - Hemp transportation manifest from shipper.
        - COA (if receiving hemp finished product).
    - Storage: to document security and limited access to hemp extract or hemp intermediate product with a Total THC concentration of greater than three tenths of a percent (0.30%).
    - Production: to monitor production requirements such as ingredient amounts, cooking time/temp, cooling.
    - Analytical Lab Testing: to verify compliance with testing requirements & limits.
    - Shipping: to maintain traceability in the event of a recall and to document items like presence of transportation manifest & COA (for distribution to other business entities), condition of & temperature (if applicable) of transportation unit.

# <u>Section 5 – Additional Information</u>

Additional Information				
If you believe additional information would be helpful to clarify the process, please attach it in the application packet submitted. Please direct further questions to the NMED CHB email cannabis.hemp.bureau@env.nm.gov.				
Other NMED Permits Held by Owner of this Facility				
Name of Facility	Permit #			



# Section 6 – Signatures

Ар	plicant's Signa	ture Page	
Comments:			
CTATEMENT. I have by south to that the above information is a	was a sad I fully yo	daystand that an	deviation from the above without mine powering
STATEMENT: I hereby certify that the above information is or from the State of New Mexico Environment Department in <b>Production, Transportation, Warehousing and Testing F</b> certify that I have clearly denoted any portions of the appli- Inspection of Public Records Act.	nay nullify final app <b>egulations</b> and all	oroval. I agree to ow the regulator	comply with <b>20.10.2 NMAC – Hemp Extraction,</b> y authority access to the facility and records. I also
inspection of Fubilic Records Act.			
Applicant or responsible representative(s) Signature	/ Title	Date	
Applicant or responsible representative(s) Signature	<sup>/</sup> Title	Date	
Approval of these plans and specifications by the State of N code, law or regulation that may be requiredfederal, state,		•	· · · · · · · · · · · · · · · · · · ·
facility (structure or equipment). A pre-opening inspection of if it complies with <b>20.10.2 NMAC – Hemp Extraction, Pro</b> popening inspection is completed and an approval to open is	f the facility with eq luction, Transport	uipment in place ation, Warehous	& operational will be necessary to determine sing and Testing Regulations. After the pre-
	NMED Use C	Only	
Review Comments (as applicable):			
Signature:		Date:	
Approved □	Denie	□ b	
Final reviewer's comments:			
Signature/Title:		Date:	
Approved □	Denie	□ b	
Office			Facility
District:	Owne		
Field Office:	Permi	: #:	
Inspector:	Туре:		
Review Date:	Date (	Onened:	Date Closed: